

**TWO DIMENSIONAL UPPER HUMAN BODY POSE  
MODELLING SYSTEM**



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**TWO DIMENSIONAL UPPER HUMAN BODY POSE  
MODELLING SYSTEM**

**ROSALYN R PORLE**

**THIS IS SUBMITTED IN FULFILLMENT FOR THE  
DEGREE OF DOCTOR OF PHILOSOPHY**



**UMMS**  
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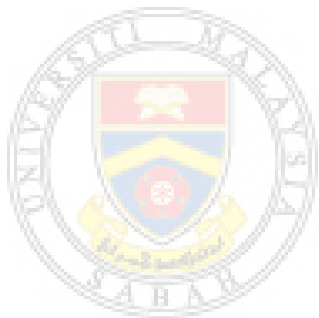
## DECLARATION

I hereby declare that the material in this thesis is my own except for quotations, excerpts, equations, summaries and references, which have been duly acknowledged.

23 September 2011

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**CERTIFICATION**

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God bless, Wasalam.

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## ABSTRACT

### TWO DIMENSIONAL UPPER HUMAN BODY POSE MODELLING SYSTEM

Human Body Pose Modelling detects the human body parts and estimates their size, position and orientation in image sequences and then represents them using a specified model. It has wide applications such as user interface system, intelligent visual surveillance system and motion analysis in sports and medical applications. The human body can be divided into three main parts, which are the head, the torso and the limbs. In this thesis, the head, the torso and the arms of the human body are detected from the captured video. In this system, the human subject is assumed to be alone, in standing position, facing the video and wearing a short-sleeve clothe. The body parts are estimated and then represented in an image using rectangle shape. The input image sequences, which are acquired from the video, are processed using Single Level Haar Wavelet Transform decomposition. From the decomposition result, three features; namely the silhouette, the edge and the colour are extracted. Silhouette extraction, wavelet-based edge extraction and histogram analysis methods are employed to extract these features respectively. Rectangular shape is used as a model for each targeted body part. The parameters of the model such as the corner position, angle of rotation, width and length are computed using pose estimation methods. Four methods are proposed to estimate the head and the torso. These methods are Windowing Method I, Windowing Method II, Histogram Analysis I and Histogram Analysis II. All these methods use the extracted silhouette feature. Then, the arms pose is estimated using template-based matching. The arms pose are classified into non-occluded and occluded pose. Depending on this classification, different input features are applied. Silhouette or edge feature can be used for the non-occluded pose estimation. Meanwhile, colour feature is used for occluded pose estimation. The developed system is tested using 30 image sequences of different users. The best method for the silhouette extraction achieved 88.62 percent of correct silhouette detection and 98.68 percent of correct non-silhouette detection. For the colour extraction, the best colour format achieved 55.01 percent of correct skin colour detection and 97.71 of correct non-skin colour detection. For the pose estimation, the best pose estimation method for specified body parts achieved more than 90 percent of correct estimation. The proposed methods deals with: 1) partial occlusion, e.g. when the torso is not detected or partially detected, the size and position of the torso can still be estimated; 2) self occlusion, e.g. when the upper and lower arms are occluded with each other; and 3) hand crossing, e.g. when the right and left arms crossed with each other.

## ABSTRAK

*Pemodelan Pergerakan Tubuh Manusia mengesan bahagian tubuh manusia dan menganggar saiz, posisi dan orientasinya dalam rangkaian imej dan mewakilinya dengan menggunakan model tertentu. Ia mempunyai aplikasi yang luas seperti sistem antaramuka pengguna, sistem pengawasan visual cerdas dan analisa gerakan bagi tujuan sukan dan perubatan. Tubuh manusia boleh dibahagikan kepada tiga bahagian utama iaitu kepala, badan dan anggota lain. Di dalam tesis ini, kepala, badan dan tangan manusia akan dikesan dari video rakaman. Manusia di dalam rakaman tersebut dianggap bersendirian, dalam posisi berdiri, menghadap ke arah video dan memakai pakaian berlengan pendek. Bahagian tubuh akan dianggar dan diwakilkan dalam imej dengan menggunakan bentuk segiempat tepat. Rangkaian input imej yang diperolehi daripada video akan diproses menggunakan penguraian jelmaan Haar wavelet tahap tunggal. Dari hasil penguraian tersebut, tiga ciri iaitu silhuet, sisi dan warna akan diekstrak. Pengestrakan silhuet, pengekstrakan sisi berdasarkan wavelet dan teknik analisa histogram akan digunakan untuk mengestrak ciri-ciri yang disebutkan. Bentuk segiempat tepat akan digunakan sebagai model untuk setiap bahagian badan sasaran. Parameter model seperti kedudukan bucu, sudut pusingan, lebar dan panjang akan dikira dengan menggunakan teknik taksiran pergerakan. Empat teknik dicadangkan untuk taksiran ini iaitu teknik tettingkap I, teknik tettingkap II, analisa histogram I dan analisa histogram II. Semua teknik ini dapat dilaksanakan menggunakan sifat silhuet. Selepas itu, taksiran pergerakan lengan dilaksanakan dengan menggunakan pepadanan berdasarkan templat. Pergerakan lengan diklasifikasikan kepada pergerakan terhalang dan tak terhalang. Bergantung kepada klasifikasi ini, input yang berbeza akan digunakan. Sifat-sifat silhuet dan sisi boleh digunakan untuk taksiran pergerakan tak terhalang. Manakala sifat warna digunakan untuk taksiran pergerakan terhalang. Sistem yang dibangunkan diuji dengan menggunakan 30 rangkaian imej yang berbeza pengguna. Teknik terbaik untuk pengestrakan silhuet mencapai 88.62 peratus bagi pengesanan silhuet yg betul dan 98.68 peratus bagi pengesanan bukan silhuet yg betul. Untuk pengekstrak warna, format warna terbaik mencapai 55.01 peratus bagi pengesanan warna kulit yang betul dan 97.71 peratus bagi pengesanan bukan warna kulit yang betul. Untuk taksiran pergerakan, teknik taksiran pergerakan yang terbaik bagi bahagian-bahagian badan tertentu mencapai lebih daripada 90 peratus taksiran yang betul. Sistem ini mengendalikan 1) separa halangan, sebagai contoh apabila badan tidak dikesan atau separa dikesan, saiz dan lokasi badan masih dapat ditaksirkan; 2) halangan sesama, sebagai contoh apabila lengan atas dan bawah terhalang sesama sendiri; dan 3) tangan bersilang, sebagai contoh apabila lengan kanan dan kiri bersilang antara satu sama lain.*

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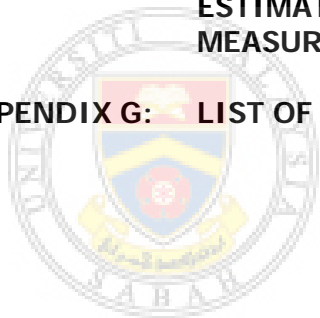
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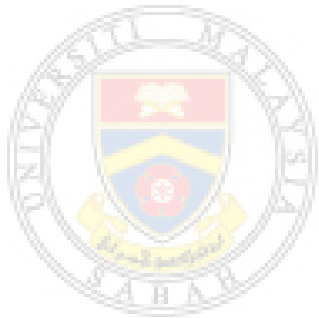
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## LIST OF ABBREVIATIONS

DWT	Discrete Wavelet Transform
HSC	Human Silhouette Classification
LL1	Lower left 1 region
LL2	Lower left 2 region
LL3	Lower left 3 region
LL4	Lower left 4 region
LR1	Lower right 1 region
LR2	Lower right 2 region
LR3	Lower right 3 region
LR4	Lower right 4 region
IF	Low pass filter
hF	High pass filter
RGB	Red, Green and Blue colour
ROI	Region of Interest
TN	True Negative
TP	True Positive
UR1	Upper right 1 region
UR2	Upper right 2 region
UR3	Upper right 3 region
UR4	Upper right 4 region
UL1	Upper left 1 region
UL2	Upper left 2 region
UL3	Upper left 3 region
UL4	Upper left 4 region



## LIST OF SYMBOLS

$\mu_i$	Class mean
$\omega_i$	Class probabilities
$\theta_X$	Rotational angle
$\sigma^2_B$	Between-class variance
$A_{CIELAB}$	$A$ component of the <i>CIELAB</i> colour space
$aH$	Head Area
$aO_i$	Area of the labelled object
$A_{SCT}$	$A$ component of the <i>SCT</i> colour space
$B_{CIELAB}$	$B$ component of the <i>CIELAB</i> colour space
$bottomHS$	Bottom of the human silhouette
$b_{rgb}$	Blue component of the <i>rgb</i> colour space
$B_{SCT}$	$B$ component of the <i>SCT</i> colour space
$c$	Column
$\bar{c} O_i$	Column centroid of the labelled object
$\bar{c} O_R$	Column centroid of the reference object
$cH2$	Leftmost head position
$cT2$	Leftmost torso position
$cH1$	Rightmost head position
$cT1$	Rightmost torso position
$cwhh2H$	Column histogram for the region above the shoulder
$cwhHS(c)$	Column histogram of the human silhouette
$\bar{d} \bar{c} O_{i\_R}$	The difference of the column centroid between the labelled and the reference object
$dpHS(p1,p2)$	The distance transform of the human silhouette image
$d_{max}HS$	The maximum distance transform value
$d_{max}I_{MD}$	The maximum intensity differences between two image
$ewT$	Estimated width of the torso

$f(t)$	Function of $t$
$f_C$	Frequency of colour histogram
$fcwhh2H$	Frequency of $cwhh2H$
$fcwhHS$	Frequency of the column histogram, $cwhHS(c)$
$f_{max}C$	Maximum frequency of colour histogram
$f_{max}cwhHS$	Maximum frequency of the column histogram, $cwhHS(c)$
$f_{min}C$	Minimum frequency of colour histogram
$f_{max}cwhh2H$	Maximum frequency of $cwhh2H$
$frwhHS$	Frequency of the row histogram, $rwhHS(r)$
$frwhirH$	Frequency of row histogram for the initial region head
$g_{rgb}$	Green component of the $rgb$ colour space
$g_{TSL}$	Green component of the $TSL$ colour space
$h2H$	Height of the region above the shoulder
$hA$	Arm Height
$H_b$	Histogram bin in colour histogram
$hH$	Head Height
$hHS$	Height of the human silhouette
$H_{HSI}$	Hue component of the $HSI$ colour space
$h_{max}HS$	Maximum preferred height of the human silhouette
$h_{min}HS$	Minimum preferred height of the human silhouette
$hO_i$	Height of the labelled object
$hT$	Torso Height
$htC$	High threshold in colour histogram
$i$	Label number
$I_{BS}$	Background Subtraction image
$I_C$	Current image
$I_c$	Colour component image
$I_{EF}$	Extraction Function image
$ihH$	Initial height of the head
$I_{HS}$	Human silhouette image

$I_{HSI}$	Intensity component of the <i>HSI</i> colour space
$i_{max}$	Maximum number of labels in the image
$I_{MD}$	Output image for the Motion Detection
$I_R$	Reference image
$I_{WA}$	Approximation image component
$I_{WB}$	Blue colour component of the approximation image
$I_{WD}$	Diagonal image component
$I_{WG}$	Green colour component of the approximation image
$I_{WH}$	Horizontal image component
$i_{WH}$	Initial width of the head
$I_{WL}$	Grey colour approximation image
$I_{WR}$	Red colour component of the approximation image
$I_{WV}$	Vertical image component
$L_{CIELAB}$	Luminance component of the <i>CIELAB</i> colour space
$l_{mcwhHS}$	Last column position of the column histogram
$l_{orHS}$	The left arm region
$L_{SCT}$	Luminance component of the <i>SCT</i> colour space
$l_{tC}$	Low threshold in colour histogram
$L_{TSL}$	Luminance component of the <i>TSL</i> colour space
$mW$	Wavelet Transform Modulus
$O_{HS}$	Object of the human silhouette
$O_i$	Labelled object
$O_{MD}$	Output image of motion detection
$O_R$	Reference object
$p$	Point $p$
$P_{LLAC}$	Axis origin for the lower left arm
$P_{LRAC}$	Axis origin for the lower right arm
$P_{ULAC}$	Axis origin for the upper left arm
$P_{URAC}$	Axis origin for the upper right arm
$r$	Row

$RH$	Head ratio
$rH1$	Top head position
$rH2$	Bottom head position
$R_{RbT}$	The weight factor for $d_{max} I_{MD}$
$R_f$	Weight factor for frequency of colour histogram
$RlorHS$	Ratio of the left arm region
$rncwhHS$	First column position of the column histogram
$rorHS$	The right arm region
$r_{rgb}$	Red component of the $rgb$ colour space
$RrorHS$	Ratio of the right arm region
$rT1$	Top torso position
$rT2$	Bottom torso position
$RtrHS$	Ratio of above the torso region
$r_{TSL}$	Red component of the $TSL$ colour space
$rwhHS(r)$	Row histogram of the human silhouette
$rwhirH$	Row histogram for the initial region head
$S$	Structuring element
$S_{HSI}$	Saturation component of the $HSI$ colour space
$S_{TSL}$	Saturation component of the $TSL$ colour space
$tl_b$	Threshold value of the background image
$tl_{MD}$	Threshold value of Motion Detection
$topHS$	Top of the human silhouette
$tpH(r, c)$	Total pixels for head estimation
$tprH$	Total pixels in the head region
$tprnH$	Total pixels for the new head estimation
$tpT(r, c)$	Total pixels for torso estimation
$trHS$	Above the torso region
$T_{TSL}$	Tint component of the $TSL$ colour space
$wA$	Arm Width
$wHS$	Width of the human silhouette
$w_{max}HS$	Maximum width of the human silhouette

$w_{min}H$	Minimum width of the head
$wO_i$	Width of the labelled object
$wO_R$	Width of the reference object
$vhO_i$	Height validation of the labelled object
$wH$	Head Width
$wHS$	Width of the human silhouette
$whT$	High threshold for the width of the torso
$wlT$	Low threshold for the width of the torso
$w_{max}HS$	Maximum width of the human silhouette
$w_{min}HS$	Minimum width of the human silhouette
$wT$	Torso Width
$vwO_i$	Width validation of the labelled object
$X$	$X$ component of the $rgb$ colour space
$Y$	$Y$ component of the $rgb$ colour space
$Z$	$Z$ component of the $rgb$ colour space



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## CHAPTER 1

### INTRODUCTION

#### 1.1 Human Body Pose Modelling System

Human Body Pose Modelling is a system that detects the human body parts and estimates their position and orientation in an image sequence using a specified model. This research is an active and growing research area in the last two decades, motivated by the need of man-machine systems to detect, recognize, track, model and analyze human automatically in order to interact effortlessly with user. The Human Body Pose Modelling is applicable in various areas, such as Gesture Identification for User Interface System, Human Tracking for Intelligent Visual Surveillance System and Motion Analysis in sport and medical fields.

Human body refers to the physical structure of a human. The human body is segmented into three main parts, which are the head, the torso and the limbs. The limbs comprise the arms and legs. Each of these parts is further subdivided into smaller parts; for example the arms are subdivided into upper arms, lower arms and the hands; and the legs are subdivided into thighs, calves and feet. Pose here refers to a particular position and orientation of an object (Forsyth and Ponce, 2004). Human Body Pose specifically refers to the movement and position of the whole human body or a particular human body part. Whereas, model refers to the representation or a simplified version of something (Cambridge International Dictionary of English, 1995). In the case of Human Body Pose Modelling, a model is used to directly or indirectly describe and represent the human movement in an image sequence.

The Human Body Pose Modelling is a combination of three major components which are: Human Body Detection, Model Construction and Pose Estimation. The first component, Human Body Detection, aims to detect the human body parts in an image sequence. The type of video camera(s) used for the system and the feature(s) that is extracted from the acquired image sequence will

influence the detection method. The second component, Model Construction, aims to represent the human body parts in an image sequence using a specified model. The human body parts can be represented using Stick Figures, 2D Contours or Volumetric Models. The model either represents the whole human body or particular human body parts. The last component, Pose Estimation, configures the model so that it accurately reflects the position of the targeted human body parts. The Human Body Detection and the Pose Estimation are directly influenced by the model used in the system. Generally, the more complex the human body model, the more accurate the estimation result, hence it requires more expensive computation.

## **1.2 Objectives of the Thesis**

This thesis has three objectives. The main objective of this thesis is to model the Upper Human Body Pose using 2D Contours. In order to model the Upper Human Body Pose, multiple features are extracted and then incorporated for detecting the human body in an image sequence. One of the features, the colour feature, is used especially for Skin Colour Segmentation. The performance of the Skin Colour Segmentation in six colour spaces will be evaluated in this thesis. Details on the objectives are given as follows:

### **i. To model the Upper Human Body Pose in an image sequence using 2D Contours**

The task of modelling the Human Body Pose is not straightforward, as human movement is non-rigid. The variety of body pose is unlimited and the size of body parts is person dependent. Furthermore, the occurrence of occlusion, deformable body parts, shadows and varying illumination in the image sequence add to the problems in the system. In this thesis, some of these issues are addressed. The system focuses on modelling the upper human body parts, which includes the head, the torso, the upper arms and the lower arms. 2D Contours are used to represent the human body parts. The modelling method is performed in a hierarchical manner, such that the estimated position and size of the reference body part influences the position and size of the other body parts.

**ii. To extract and incorporate multiple features for Human Body Detection**

Image sequence offers a wealth of information to aid in the analysis of a real world scene. Silhouette, edge and colour are some examples of features that are available in an image sequence. Due to the richness of information, the image processing requires an enormous amount of computation. Various methods have been established by researchers; either by applying a single feature or by integrating several essential features in the system. A single feature may not be sufficient to address Pose Estimation issues, especially the occlusion issue, which deemed not favourable for a robust system. In this thesis, multiple features from the image sequence are extracted. These features are either independently applied or fused together to detect the human body parts.

**iii. To evaluate the performance of the Skin Colour Segmentation in six colour spaces**

Colour is useful for detecting the human arms, especially when the arms are occluded with the other body parts. In developing Skin Colour Segmentation, two main issues are addressed: the first issue is the selection of colour space, and the second issue is the selection of Skin Colour Segmentation method for the system. The selection of the colour space is essential since it has significant effect on the Skin Colour Segmentation. The default colour space that is widely used in the machine image processing field is the *RGB* colour space. There are other colour spaces that are applied for skin colour detection besides the *RGB* colour space. Despite having so many colour spaces, neither a single nor a perfect colour space can be applied for segmentation task that can represent a real world scene (Battle *et al.*, 2000). In this thesis, a Skin Colour Segmentation method is proposed to detect human arms, and the performance of this method is evaluated using six different colour spaces. The selection of the best colour space for the proposed method is determined based on the experimental results.