

**AUTOMATIC MARKERLESS POSE ESTIMATION  
FOR HUMAN IN SHORT SLEEVE OR LONG SLEEVE  
ATTIRE**

**LIM SIEW HOOI**

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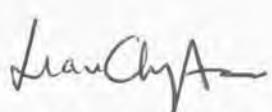
Disahkan oleh:

(Penulis: **LIM SIEW HOOI**)

(TANDATANGAN PUSTAKAWAN)

Alamat tetap:

A25, Lorong Semangat 3,  
Taman Semangat,  
08000 Sungai Petani,  
Kedah.

  
(Penyelia: **MR. LIAU CHUNG FAN**)

Tarikh: 28 Julai 2008

CATATAN: ® Tesis dimaksudkan sebagai tesis ijazah Doktor Falsafah dan Sarjana secara penyelidikan atau disertasi bagi pengajian secara kerja kursus dan penyelidikan, atau Laporan Projek Sarjana Muda (LPSM).



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\_\_\_\_\_  
Lim Siew Hooi  
PK2006-8004



## CERTIFICATION

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SHORT SLEEVE OR LONG SLEEVE ATTIRE

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VIVA DATE : 11 JULY 2008

## DECLARED BY

1. SUPERVISOR  
MR. LIAU CHUNG FAN

Hau Chyt.



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## ABSTRACT

### Automatic Markerless Pose Estimation for Human in Short Sleeve or Long Sleeve Attire

Markerless motion capture systems have the potential to provide an inexpensive, non-obtrusive solution for the estimation of body poses. Video analysis of human dynamics has a wide variety of applications in visual surveillance, visual-based human computer interaction, human robot interaction, gesture recognition and analysis, etc. Human pose estimation is a difficult problem, because human bodies are versatile, presenting a wide range of poses and self-occlusion occurrence. The goal of this project is to develop an automatic human pose estimation algorithm to recover the body and limb configurations in 2D from monocular video sequences without any special markers on the body. In this project, two approaches were described to model the human body poses from a monocular video sequence. In the first approach, a cardboard person model was proposed to model the upper part of human body using template matching algorithm. However silhouette feature alone was not enough to recover body configurations of the human when the body parts occluded each other and it was computationally expensive. Thus, a computer vision-based approach was proposed as the second method to automatically detect human body parts and estimate the human body poses from a markerless monocular video sequence. The input image was first segmented using a silhouette extraction function based on the brightness level transformation to extract the moving silhouette patterns (human figures) from a static background for subsequent processing. Human body parts detection was then performed using colour, contours and silhouettes cues. Human body model initialization was performed in a fully automatic way. The only assumption was the person should be in an upright and frontal poses in the video sequence. Circular head fitting was first detected using circular Hough transform method. K-means clustering was then performed on the circular head region to obtain the skin colour distribution of the face. A skin colour model was built from the detected face region and used to find the candidate positions of limbs. The pixel classification performance was measured by using a receiver operating characteristic (ROC) curve. Radon transform was used to obtain more accurate orientation of the upper arms. Various physical and motion constraints regarding the human body were then used to construct the upper body configuration. The relation of the hand position inside the torso region was introduced to estimate the human pose for long sleeve attire's users. The final stage of this project was to recognize the pose performed by the human subject. Nineteen different body poses were considered for classification using feed-forward backpropagation neural network, in which eight features are extracted from each pose. Our algorithm can recognize poses for any person entering the scene in either short sleeve or long sleeve shirt. It could also estimate the human poses even under illumination changes, self-occlusion occurrence and distance variations. There is no need for our system to use skin colour model built from skin pixel database for skin colour detection. Human pose estimation using computer vision-based approach reduces the computational cost by finding correct body part candidates more efficiently.

## **ABSTRAK**

Sistem peralatan penangkapan pergerakan tanpa penandaan mempunyai potensi untuk membekalkan penyelesaian yang lebih murah dan "non-obtrusive" untuk penganggaran postur manusia. Sistem video penganalisa pergerakan manusia mempunyai pelbagai aplikasi seperti "video surveillance", interaksi antara manusia dan komputer, interaksi antara manusia dan robot, sistem pengecaman gerak isyarat dan lain-lain. Sistem penganggaran postur manusia adalah amat rumit kerana badan manusia adalah serba lentur untuk melakukan pelbagai postur dan kejadian "self-occlusion". Tujuan utama projek ini adalah untuk membangunkan satu algoritma penganggaran postur manusia secara automatik untuk menentukan konfigurasi badan dan tangan manusia dalam dua dimensi dengan menggunakan urutan video monocular tanpa sebarang penandaan khas pada badan. Dalam projek ini, dua cara digunakan di dalam pemodelan postur badan manusia melalui urutan video monocular. Cara pertama memperkenalkan model kadbod untuk pemodelan bahagian atas badan manusia dengan menggunakan algoritma "template matching". Akan tetapi, ciri "silhouette" sahaja tidak cukup untuk menentukan konfigurasi badan manusia apabila terdapat bahagian badan yang tersembunyi di belakang bahagian badan yang lain dan ia memerlukan masa yang panjang. Oleh itu, cara berdasarkan penglihatan komputer telah dicadangkan sebagai cara kedua untuk mengesan bahagian badan dan menganggar postur badan manusia secara automatik daripada urutan video monocular tanpa sebarang pakaian khas. Imej gambaran urutan pertama video disegmentasikan dengan menggunakan fungsi "silhouette extraction" yang berdasarkan transformasi peringkat kecerahan pada imej untuk mengasingkan latar depan yang dinamik (manusia) daripada latar belakang yang statik bagi pemprosesan seterusnya. Pengesanan bahagian badan manusia dilakukan dengan menggunakan ciri-ciri warna, kontur dan "silhouette". Initiasi model badan manusia dilakukan secara automatik. Anggapan yang dibuat adalah manusia mesti berhadapan dengan kamera dalam urutan video. Kepala manusia mula dikesan dengan menggunakan cara "circular Hough transform". Selepas itu, "k-means clustering" digunakan pada kawasan bulatan kepala untuk memperolehi taburan warna kulit pada muka. Model warna muka dibina daripada kawasan muka dan digunakan untuk menentukan posisi/kedudukan anggota badan yang lain. Prestasi klasifikasi pixel diukur dengan menggunakan "receiver operating characteristic (ROC) curve". "Radon transform" digunakan untuk memperolehi orientasi bahagian atas lengan tangan. Pelbagai kekangan fizikal dan gerakan berkaitan dengan badan manusia digunakan untuk membina konfigurasi bahagian atas badan manusia. Perhubungan antara kedudukan tangan dan badan (torso) diperkenalkan untuk menganggar postur manusia yang berpakaian lengan panjang. Peringkat terakhir projek ini adalah untuk mengecam postur manusia. Sembilan belas postur badan manusia yang berlainan diklasifikasikan dengan menggunakan "feed-forward backpropagation neural network". Lapan ciri diperolehi daripada setiap postur. Algoritma yang dibangunkan boleh mengecam postur manusia sama ada yang berpakaian lengan pendek atau lengan panjang. Ia juga boleh menganggar postur manusia walaupun terdapat perubahan cahaya, bahagian badan yang tersembunyi dan perubahan jarak antara manusia dan kamera. Sistem ini tidak memerlukan model warna kulit yang dibina daripada pangkalan data piksel kulit untuk mengesan warna kulit. Penganggaran postur badan manusia dengan cara berdasarkan penglihatan komputer mengurangkan masa pemprosesan dengan mencari dan menentukan calon-calon bahagian badan secara lebih cekap.

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## **LIST OF ACRONYMS**

ANN	Artificial Neural Network
CR	Classification rate
DOF	Degree of freedom
DSI	Disparity space image
FN	False negative
FP	False positive
FPR	False positive rate
GUI	Graphical user interface
HCI	Human-Computer Interaction
HCII	Human Computer Intelligent Interaction
HMM	Hidden Markov Model
HSV	Hue-Saturation-Value
NCC	Normalized cross correlation
RGB	Red-Green-Blue
rgb	Normalized RGB
ROC	Receiver Operating Characteristic
ROI	Region of interest
SAD	Sum of absolute difference
SSD	Sum of squared difference
SVM	Support Vector Machine
TN	True negative
TP	True positive
TPR	True positive rate

VIP	Video and Image Processing
2D	Two-dimensional
3D	Three-dimensional
6D	Six-dimensional

## LIST OF SYMBOLS

$A_R$	Average aspect ratio of height to width of a face
$a, b, c, d, e, f$	Coefficients of the ellipse
$c_i$	Center of the $i$ th cluster
$d$	Disparity value
$D(u, v)$	Distance from point $(u, v)$ to the center of the frequency rectangle
$DT(x, y)$	Value of the pixel at coordinate $(x, y)$ in the distance transform image
$f(x, y)$	Original image
$F(u, v)$	Fourier transforms of the $f(x, y)$
$F(x, y)$	Algebraic distance of the point $(x, y)$ to the given conic
$g(x, y)$	Blurred image
$G(u, v)$	Fourier transforms of the $g(x, y)$
$G_{\text{opt}}$	Optimal generalization performance
$h(x, y)$	Inverse Fourier transform of the filter transfer function $H(u, v)$
$H(u, v)$	Gaussian lowpass filter function in frequency domain
$H_{hs}$	Head to shoulder height
$I_{\text{bg}}(x, y)$	Brightness in the background image
$I_{\text{curr}}(x, y)$	Brightness in the current image
$I_{\text{seg}}(x, y)$	Brightness in the segmented image
$I_x, I_y$ and $I_t$	Spatiotemporal image brightness derivatives
$\log sig(n)$	Log-sigmoid transfer function
ma	Major axis of the ellipse

$mb$	Minor axis of the ellipse
$p$	General conic parameters of the ellipse
$\vec{Q}_1$ and $\vec{Q}_2$	Position vectors of the shoulder points and the point where traversal stops
$R$	Correlation coefficient
$R^2$	Coefficient of determination of the regression
$t$	Output target vector; $t = (t_1, \dots, t_k, \dots, t_m)$
$\tan sig(n)$	Hyperbolic tangent sigmoid transfer function
$T_L$ and $T_H$	Low and high threshold for the face skin blob aspect ratio respectively
$T_{opt}$	Optimal trainability
$u$	x-component of image velocity
$uarm\_height$	The width of the arm
$uarm\_width$	The length of the arm
$v$	y-component of image velocity
$v_{ij}$	Weight for the connection between neuron i in the input layer and neuron j in the hidden layer
$v_{0j}$	Bias on hidden unit j
$w_{jk}$	Weight for the connection between neuron j in the hidden layer and neuron k in the output layer
$w_{ok}$	Bias on output unit k
$W_s$	Shoulder width
$x$	Input training vector; $x = (x_1, \dots, x_i, \dots, x_n)$
$x_{head}$	Top head position in x-coordinate
$x_j$	The $j$ th element to be clustered in feature vector form
$x_l$	Left x-coordinate of the person bounding box

$x_r$	Right x-coordinate of the person bounding box
$x_L$	X-coordinate of the left shoulder
$x_R$	X-coordinate of the right shoulder
$(x, y)$	Coordinates of points lying on the ellipse
$(xc, yc)$	Central position of the ellipse
$y_{head}$	Top head position in y-coordinate
$y_k$	Output unit k
$y_s$	Shoulder points in y-coordinate
$z_j$	Hidden unit j
$\alpha$	Level of significance
$n$	Sample size
$\rho$	The shortest distance from the line to the origin of the coordinate system
$\delta_j$	Error at hidden unit $z_j$
$\delta_k$	Error at output unit $y_k$
$\mu$	True mean
$\mu_H, \mu_S$	Mean of the Hue and Saturation components respectively
$\bar{X}$	Sample mean
$\Phi$	Radian angle of the major axis with respect to the x-axis
$\sigma_H, \sigma_S$	Standard deviation of the Hue and Saturation components respectively
$\sigma$	Sample standard deviation
$\sigma_g$	Standard deviation of the Gaussian curve (cutoff frequency)
$\theta$	Angle the line makes with the x-axis

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### INTRODUCTION

#### 1.1 INTRODUCTION

Vision-based motion capture systems and automatic human motion analysis have received great interests from computer vision researchers. Human motion analysis concerns with the detection, tracking and recognition of people, and more generally, the understanding of human behaviours from image sequences. Markerless vision-based human motion analysis has the potential to provide an inexpensive, non-obtrusive solution for the estimation of body poses. From an application perspective, computer vision-based methods provide the only non-invasive solution. The significant research effort in this domain has been motivated by a number of potential applications, especially in smart surveillance and Human-Computer Interaction (HCI). Other promising applications include video conferencing, athletic performance analysis, virtual reality, etc. The research area on human motion analysis contains a number of ill-posed problems such as inferring pose and motion of a highly articulated and self-occluding non-rigid 3D object from images.

Three major application areas of human motion analysis are surveillance, control and motion data analysis. Surveillance applications are inspired by the increased awareness of security issues for analysis of human actions, activities and behaviours. Surveillance applications cover the problems related to automatically monitoring and understanding locations where a lot of people pass through. Automated Video Surveillance deals with real time observation of people, leading to tracking and activity analysis of the subjects in the field of view. Human motion analysis is usually used to provide control and command in advanced user interfaces application. The control area relates to applications where the estimated motion or pose parameters are used to provide controlling functionalities. It could be used as

an interface to games, virtual environments or animation. The third application area is concerned with the detailed analysis of the captured motion data. This may be used in automatic diagnostics of orthopedic patients or optimization of athletes' performances.

The evolution of user interface witnessed the development from text-based user interface based on keyboard to graphical user interface (GUI) based on mouse. In current virtual environments applications, keyboards, mice, wands and joysticks are still the most popular and dominant devices. However, they are inconvenient and unnatural. The use of human movements, especially hand gestures, has become an important part of Human Computer Intelligent Interaction (HCII) in recent years, which serves as a motivating force for research in pose modelling, analyzing and recognition of human motion. Many techniques developed in HCII can be extended to other areas such as sign-language translation, gesture driven controls and signaling in high-noise environment such as factories and airports (Turk, 1996). The study of automatic modes of interaction between humans and machines occurs at all levels ranging from video games and cameras to pedestrian activities such as interacting with ATMs or "check-in" terminals in airports for security purpose to detect suspicious activities.

To develop such interaction systems, computers must be able to automatically perceive and identify users' communicative actions such as poses and gestures and respond to them accordingly. A very crucial part of all these systems is the input module which is devoted to recognize the human operator in terms of tracking and recognition of human face, arms position, hand gestures and so on. The correct interpretation of human body and arm pose, hand gesture, and facial expressions is a crucial issue in HCII because it represents the main information path in the interface between man and machine.

Typically the input to a human motion capture system is a video sequence, in which the frames capture a person exhibiting some motion. The challenge is then to locate the individual body parts in the video frame and estimate the pose parameters from the relative locations. In commercial motion capture systems, markers are

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